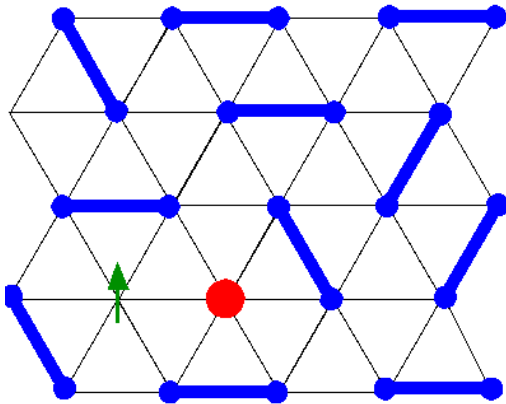
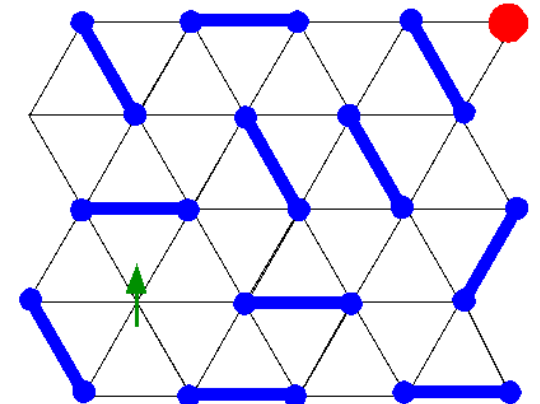


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# Fractionalisation in lattice models: from high- $T_c$ to magnetic monopoles



Roderich Moessner  
MPI-PKS Dresden



## ***Some general themes***

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control and manipulation of d.o.f. and interactions



progress in many-body physics

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control and manipulation of d.o.f. and interactions



progress in many-body physics

Experiment: new physical phenomena

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control and manipulation of d.o.f. and interactions



progress in many-body physics

Experiment: new physical phenomena

## Models

- standard (simple)
  - Hubbard model
  - Ising model
- custom-tailored
  - Rokhsar Kivelson
- soluble
  - low-dimensional

## Phases and transitions

- fractionalised phases
- ???

## Dynamics

- real-time quantum
- out of equilibrium

## Disorder

# Fractionalisation

---

High- and low-energy descriptions often have little in common

- molecules  $\rightarrow$  waves
- band electrons  $\rightarrow$  spin waves

Fractionalisation: 'quantum numbers' not simply related

- spin-charge separation (high- $T_c$ ?)
- Laughlin quasiparticles (charge  $e/3$ )
- magnetic monopoles (spin ice)

# Cold atom realisations of fractionalised phases

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- Kitaev II model (non-Abelian anyons)
  - Quantum mechanical toolbox Zoller, Buchler, ...
- Triangular lattice topological phases
  - four-spin plaquette exchange Misguich, Lhuillier
  - tunable n.n. exchange
  - Klein models
  - quantum dimer models
- classical fractionalisation in  $d = 3$ 
  - simple Ising model with non-collinear axes
  - nearest-neighbour or long-range dipoles do the trick!

# *Fluctuations and quantum dimer models*

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Fluctuations (thermal, quantum, . . .) destroy order.

⇒ **what happens instead?**

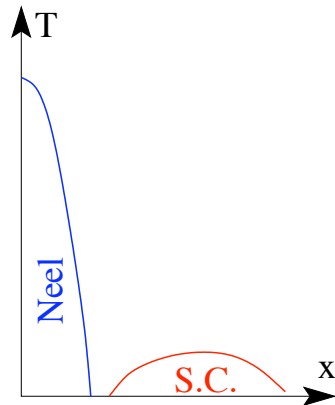
⇒ QDMs capture several aspects of new physics

## Outline

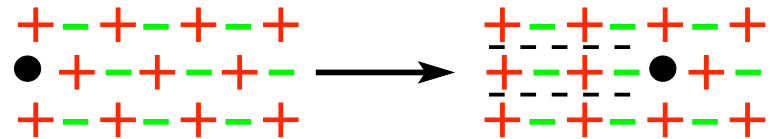
- historical perspective: high-temperature superconductors
  - **spin liquids and fractionalisation**
- quantum dimer models
  - **phase diagram**
  - **liquidity and deconfinement**
  - **topological order**
- Outlook

# Background: short-range RVB physics

Basic problem of high- $T_c$ : how do holes hop through an antiferromagnetic Mott insulator on square lattice?



Hole motion is frustrated:  
hopping creates domain walls



Possible resolution: magnet enters a different phase  
**resonating valence bond liquid phase**

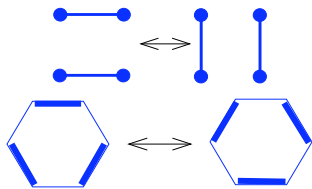
which breaks no symmetries. Neighbouring electrons form a singlet (“valence”) bond, denoted by a dimer:  $|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle \sim$



# The basic RVB scenario - electron fractionalisation

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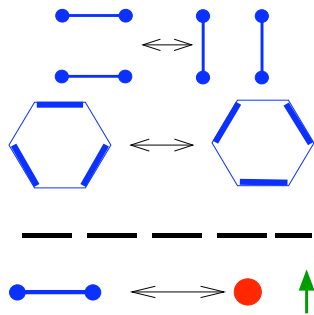
Energetics	RVB	Neel
single pair	valence bond optimal	
higher coordination	energy from resonance	...each neighbour
hole doping	motion unimpeded	motion frustrated



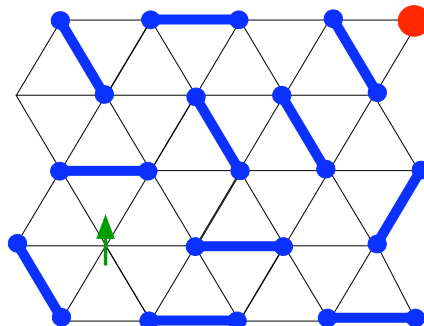
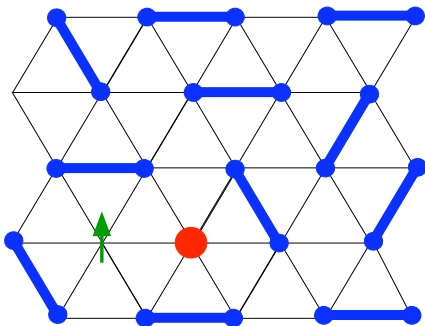
- Basic resonance move is that of benzene

# The basic RVB scenario - electron fractionalisation

Energetics	RVB	Neel
single pair	valence bond optimal	
higher coordination	energy from resonance	...each neighbour
hole doping	motion unimpeded	motion frustrated



- Basic resonance move is that of benzene
- Removing an electron  $\rightarrow$  holon + spinon



spinon and holon are deconfined  
 $\downarrow$   
 (bosonic) holons can condense

# The Rokhsar-Kivelson quantum dimer model

$$H_{\text{QDM}} = -t(|\bullet\bullet\rangle\langle\bullet\bullet| + |\bullet\bullet\rangle\langle\bullet\bullet|) + v(|\bullet\bullet\rangle\langle\bullet\bullet| + |\bullet\bullet\rangle\langle\bullet\bullet|)$$

$$H_{\text{QDM}} = -t(|\text{triangle}\rangle\langle\text{triangle}| + |\text{triangle}\rangle\langle\text{triangle}|) + v(|\text{triangle}\rangle\langle\text{triangle}| + |\text{triangle}\rangle\langle\text{triangle}|)$$

- Hilbert space: exponentially numerous dimer coverings
- Resonance ( $t$ ) and potential ( $v$ ) term from uncontrolled approximation – one parameter:  $v/t$
- RK point  $v/t = 1$  is exactly soluble in  $d = 2$  at  $T = 0$ :

$$|0\rangle = \frac{1}{\sqrt{N_c}} \sum_c |c\rangle \rightarrow \langle \hat{P} \rangle = \frac{1}{N_c} \sum_{c,c'} \langle c | \hat{P} | c' \rangle = \frac{1}{N_c} \sum_c p_c$$

→ classical calculation for diagonal operators

- $v/t > 1$  and limits of  $v/t \rightarrow -\infty$  give solid (staggered and columnar, respectively) phases:

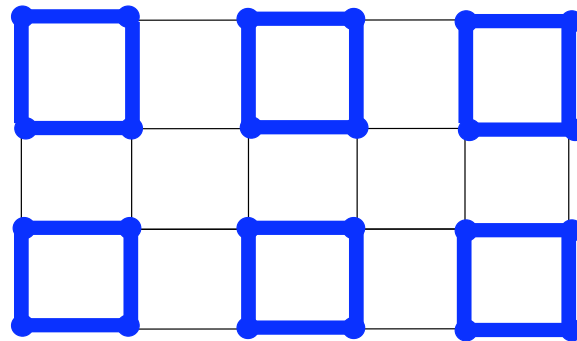
## *The enemy: order by disorder*

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- Consider  $v = 0$ : only term in  $H_{QDM}$  is kinetic term
- kinetic term gains energy from resonating plaquette:

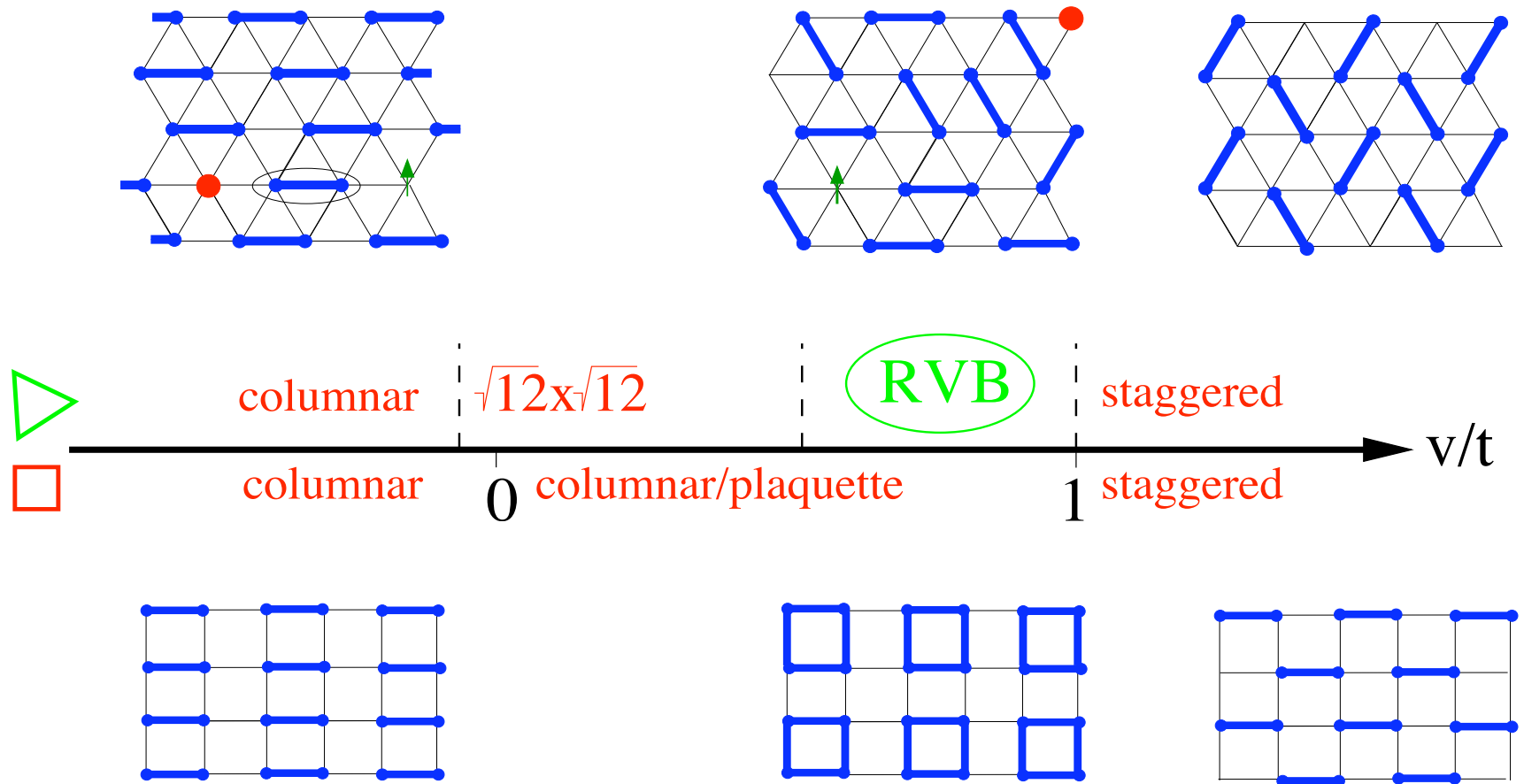


- Maximal energy gain  $\rightarrow$  dense packing
- Dense packing  $\rightarrow$  crystallinity
- Crystallinity  $\rightarrow$  symmetry breaking: ‘order by disorder’



- Plaquette solid: only variational guess!

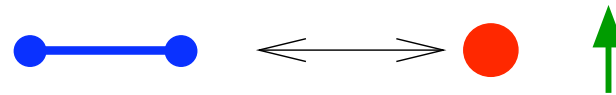
# Phase diagram for square and triangular lattices



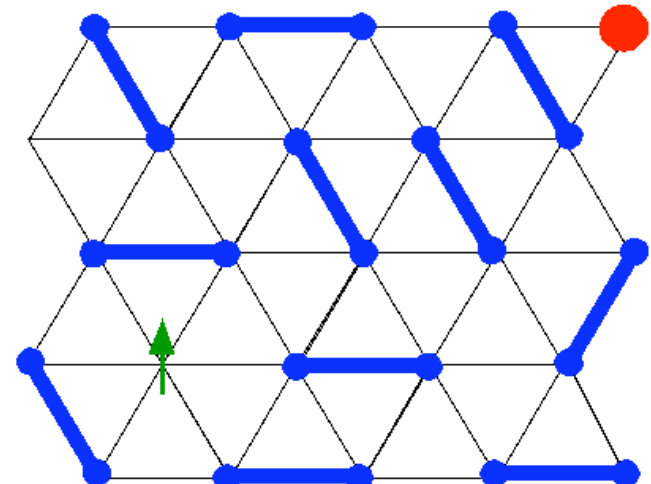
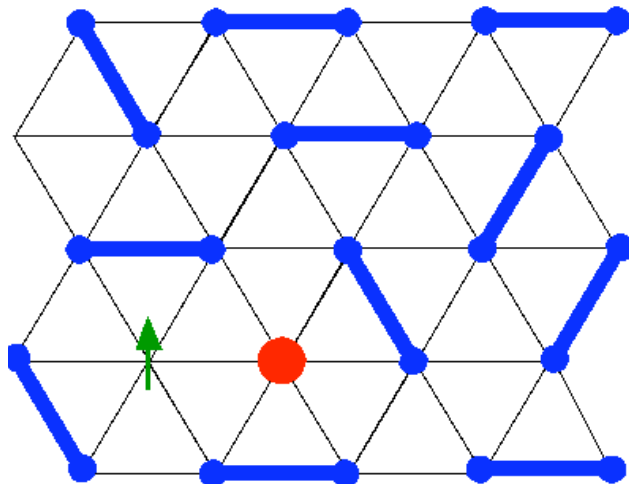
All phases on square lattice are confining RK; Sachdev; ...  
 Triangular lattice has *bona fide* RVB phase

# Liquidity and fractionalisation

- Removing an electron: holon ( $S=0$ ) and spinon ( $q=0$ )



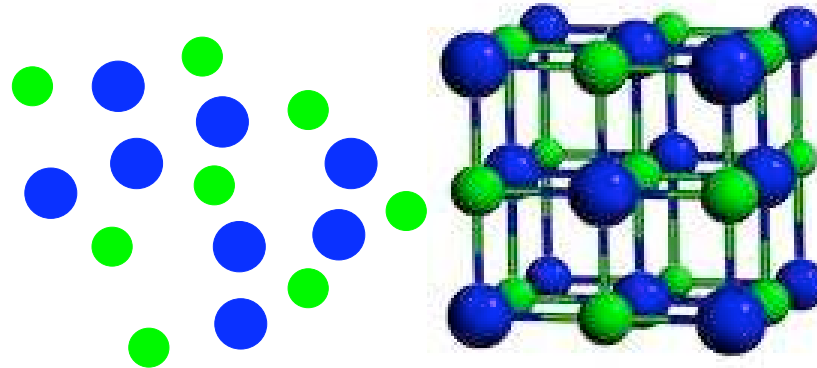
- Spinon and holons are deconfined: **spin-charge separation**



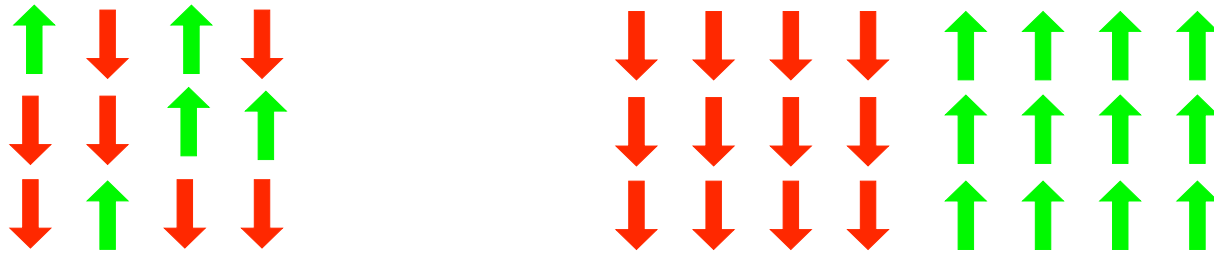
# Anything beyond conventional order and disorder?

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Gas-crystal (e.g. rock salt):



Paramagnet-ferromagnet

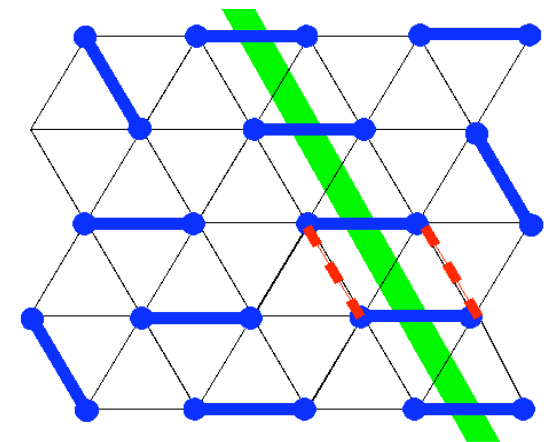
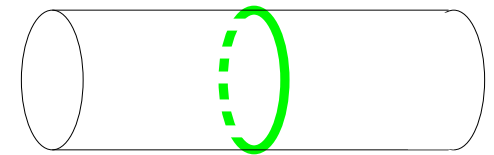


Anything else???

# Liquidity and topological order

Topological order on surface of non-trivial topology (e.g. cylinder)

- Winding parity  $\mathcal{P}$  with respect to cut is invariant under action of  $H_{RK}$   
 $\Rightarrow \mathcal{P}$  labels topological sectors
- Liquids locally indistinguishable  $\Rightarrow$  ground states  $|e\rangle, |o\rangle$  degenerate for  $L \rightarrow \infty$ :  
'topological degeneracy/order' Wen
- Unlike conventional order: degeneracy due to breaking of local symmetry

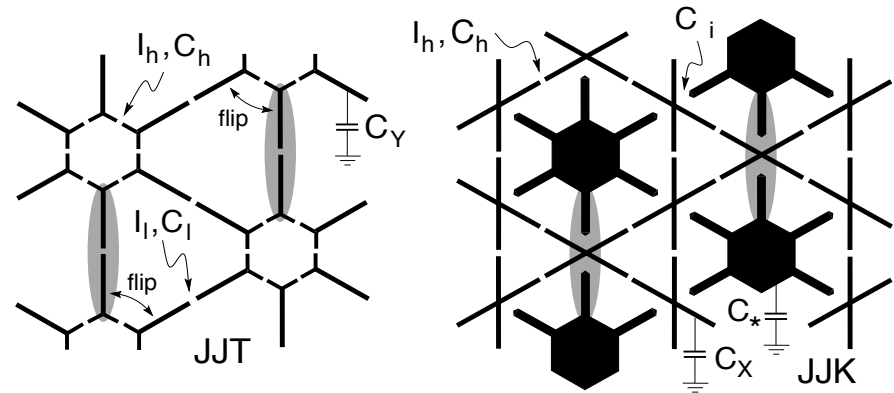
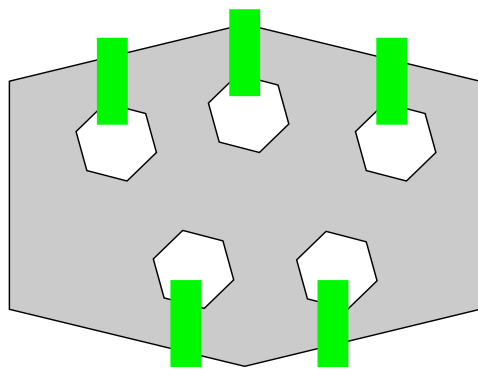




# Topological quantum computing *Kitaev; Ioffe et al.*

Topological protection: Use  $|\mathcal{P}\rangle = |e\rangle, |o\rangle$  as q-bit *Kitaev*

- Liquids locally indistinguishable:  $E_N^e - E_N^o \propto \exp(-L)$   
 $\Rightarrow$  local noise  $H_N$  cannot lead to dephasing
- Proposal is scalable: many **cuts** in single chip
- Implementation as Josephson-junction array *Ioffe et al.*
- Problem: logic gates; non-local operations, ...



# *High-dimensional fractionalisation*

---

## Fractionalisation through frustration

- frustrated Ising models
  - ground-state degeneracy
  - spin ice
- equivalence of short- and long-range interactions
- topological phase with emergent quasiparticles
  - monopoles and artificial photons
  - algebraic correlations without criticality
- dimensional reduction

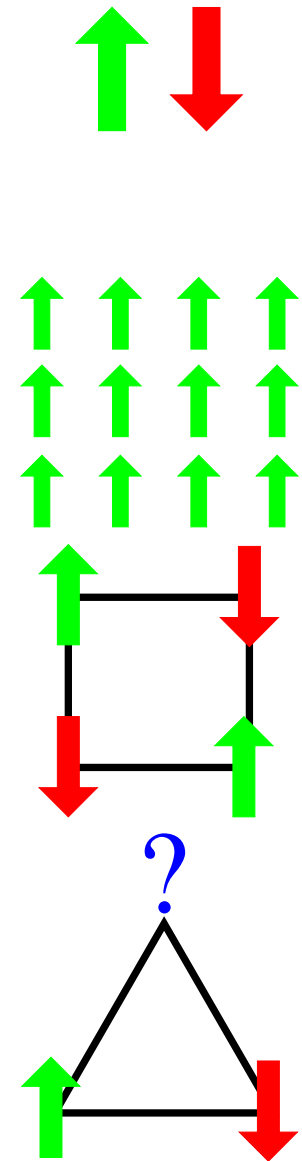
# Conventional vs frustrated Ising models

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- Consider classical Ising spins, pointing either up or down:  $\sigma_i = \pm 1$
- Simple exchange (strength  $J$ ):

$$\mathcal{H} = J\sigma_i\sigma_j$$

- $J < 0$ : ferromagnetic – spins align
- $J > 0$ : antiferromagnetic – spins antialign
- ... but only where possible: ‘frustration’  
 $\implies$  What happens instead?



# Frustration leads to (classical) degeneracy

---

Not all terms in  $\mathcal{H} = \sum_{\langle ij \rangle} \sigma_i \sigma_j$  can simultaneously be minimised

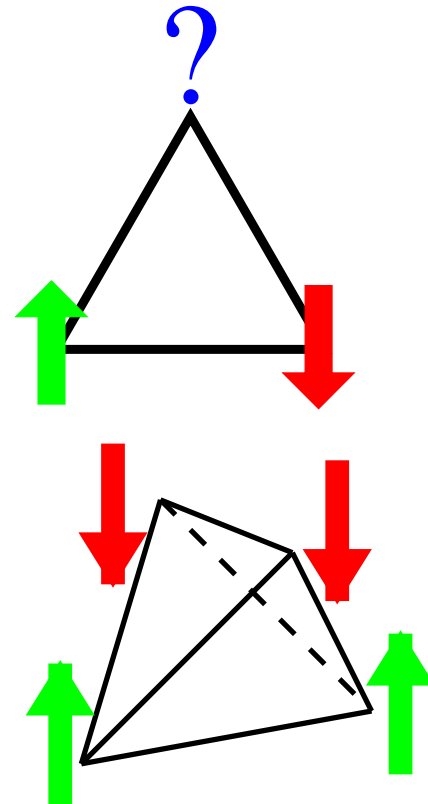
- But we can rewrite  $\mathcal{H}$ :

$$\mathcal{H} = \frac{J}{2} \left( \sum_{i=1}^q \sigma_i \right)^2 + \text{const}$$

which can be minimised

- for tetrahedron:  $\sum_i \sigma_i = 0$

$$\Rightarrow N_{gs} = \binom{4}{2} = 6 \text{ ground states}$$



**Degeneracy** is hallmark of frustration ( $\Rightarrow$  quantum Hall!)

# Zero-point entropy on the pyrochlore lattice

---

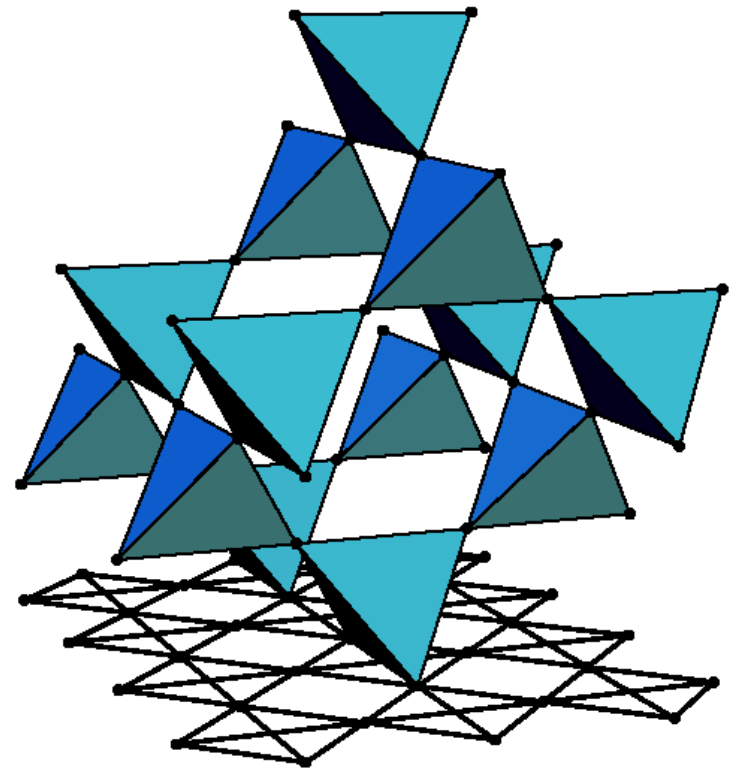
- Pyrochlore lattice = corner-sharing tetrahedra

$$\mathcal{H}_{pyro} = \frac{J}{2} \sum_{tet} \left( \sum_{i \in tet} \sigma_i \right)^2$$

- Pauling estimate of ground state entropy  $\mathcal{S}_0 = \ln N_{gs}$ :

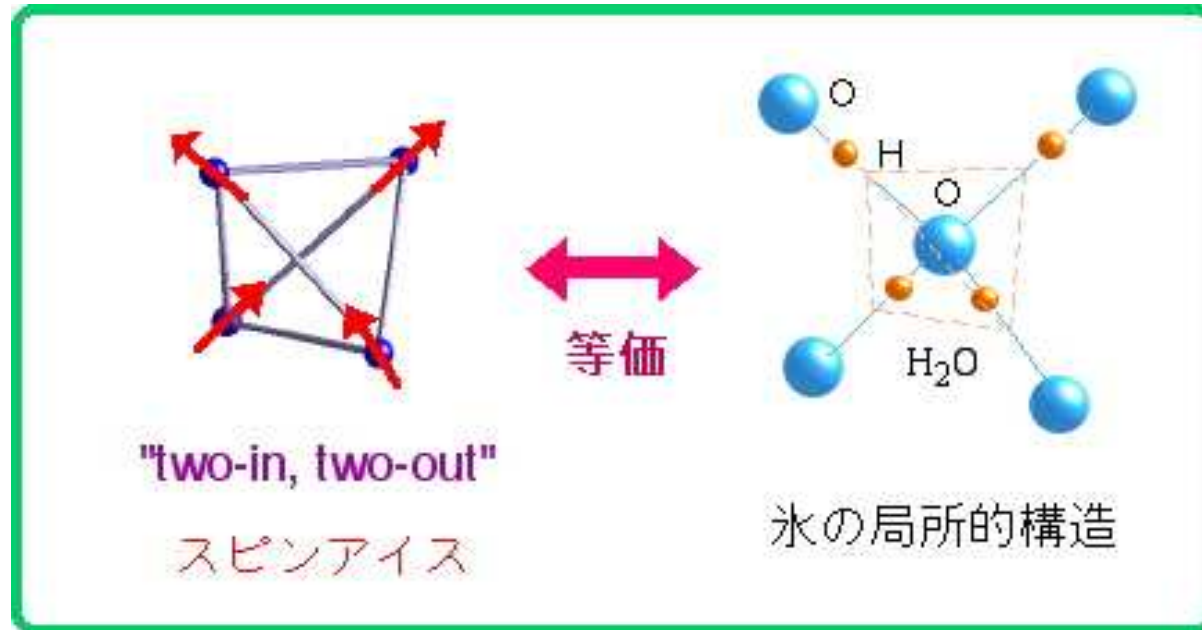
$$N_{gs} = 2^N \left( \frac{6}{16} \right)^{N/2} \Rightarrow \mathcal{S}_0 = \frac{1}{2} \ln \frac{3}{2}$$

- **microstates** vs. **constraints**;  
 $N$  spins,  $N/2$  tetrahedra



# Mapping from ice to spin ice

- In ice, water molecules retain their identity
- Hydrogen near oxygen  $\leftrightarrow$  spin pointing in



[150.69.54.33/takagi/matuhirasan/SpinIce.jpg](http://150.69.54.33/takagi/matuhirasan/SpinIce.jpg)

- axes non-collinear!

# A dipolar Hamiltonian of spin ice *Siddharthan+Shastry*

---

- Simple nearest-neighbour model:

$$\mathcal{H}_{nn} = -J \sum_{\langle ij \rangle} \vec{\mu}_i \vec{\mu}_j$$

- For polar molecules with dipole moment  $\mu$ :

$$\mathcal{H} = \mathcal{H}_{nn} + \frac{\mu_0}{4\pi} \sum_{ij} \frac{\vec{\mu}_i \cdot \vec{\mu}_j - 3(\vec{\mu}_i \cdot \hat{r}_{ij})(\vec{\mu}_j \cdot \hat{r}_{ij})}{r_{ij}^3}$$

- Both give same entropy (!!!) *Gingras et al.*

WHY???

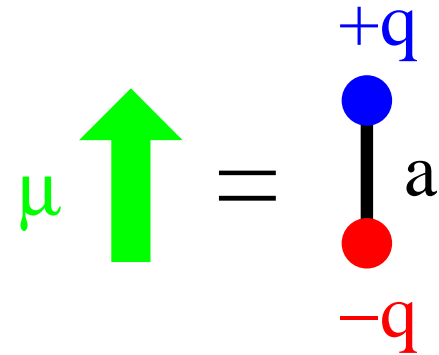
# The 'dumbbell' model

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Dipole  $\approx$  pair of opposite charges ( $\mu = qa$ ):

- Sum over dipoles  $\approx$  sum over charges:

$$\mathcal{H}_{ij} = \sum_{m,n=1}^2 v(r_{ij}^{mn})$$



- $v \propto q^2/r$  is the usual Coulomb interaction (regularised):

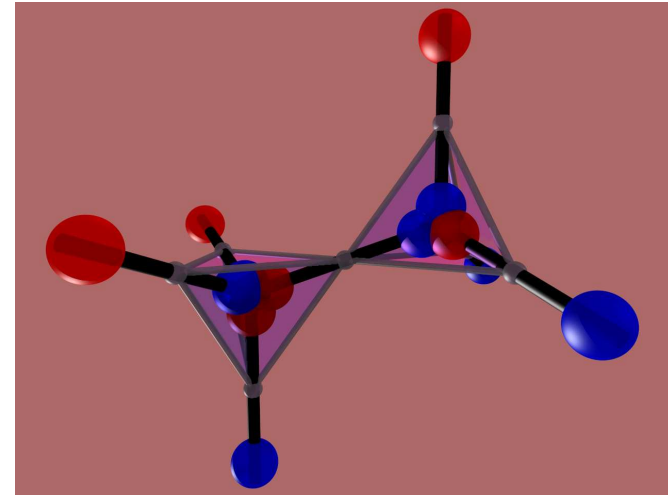
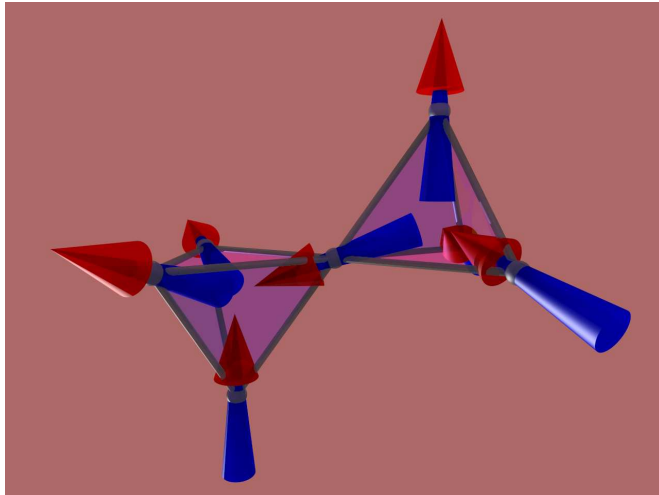
$$v(r_{ij}^{mn}) = \begin{cases} \mu_0 q_i^m q_j^n / (4\pi r_{ij}^{mn}) & i \neq j \\ v_o \left(\frac{\mu}{a}\right)^2 = \frac{J}{3} + 4\frac{D}{3} \left(1 + \sqrt{\frac{2}{3}}\right) & i = j, \end{cases}$$



# Origin of the ice rules

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Choose  $a = a_d$ , separation between centres of tetrahedra



Resum tetrahedral charges  $Q_\alpha = \sum_{r_i^m \in \alpha} q_i^m$ :

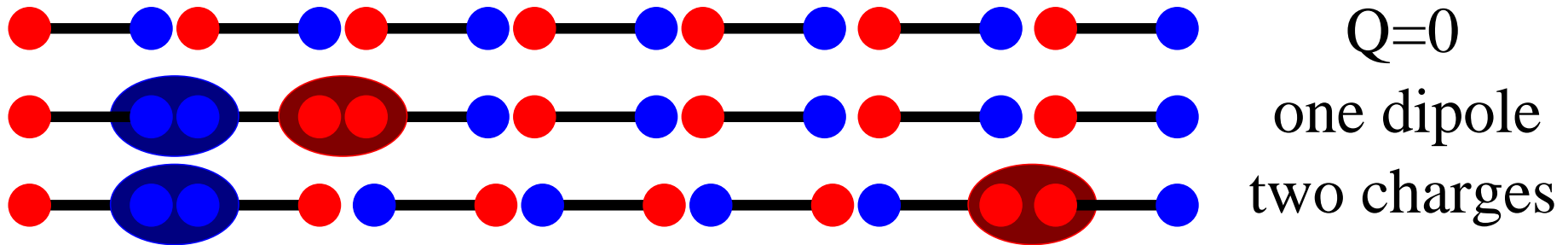
$$\mathcal{H} \approx \sum_{ij}^{mn} v(r_{ij,mn}) \longrightarrow \sum_{\alpha\beta} V(r_{\alpha\beta}) = \begin{cases} \frac{\mu_0}{4\pi} \frac{Q_\alpha Q_\beta}{r_{\alpha\beta}} & \alpha \neq \beta \\ \frac{1}{2} v_o Q_\alpha^2 & \alpha = \beta \end{cases}$$

- Ice configurations ( $Q_\alpha \equiv 0$ ) degenerate  $\Rightarrow$  Pauling entropy!

# Excitations: dipoles or charges?

---

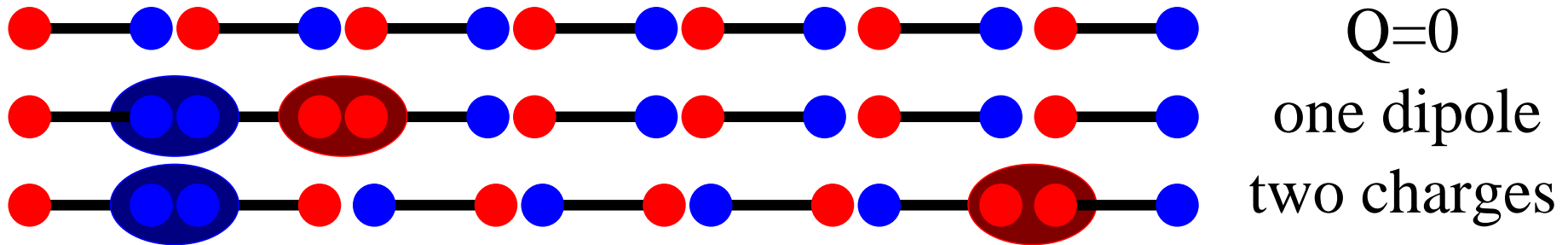
- Ground-state
  - no net charge
- Excited states:
  - flipped spin  $\leftrightarrow$  dipole excitation
  - same as two charges?



# Excitations: dipoles or charges?

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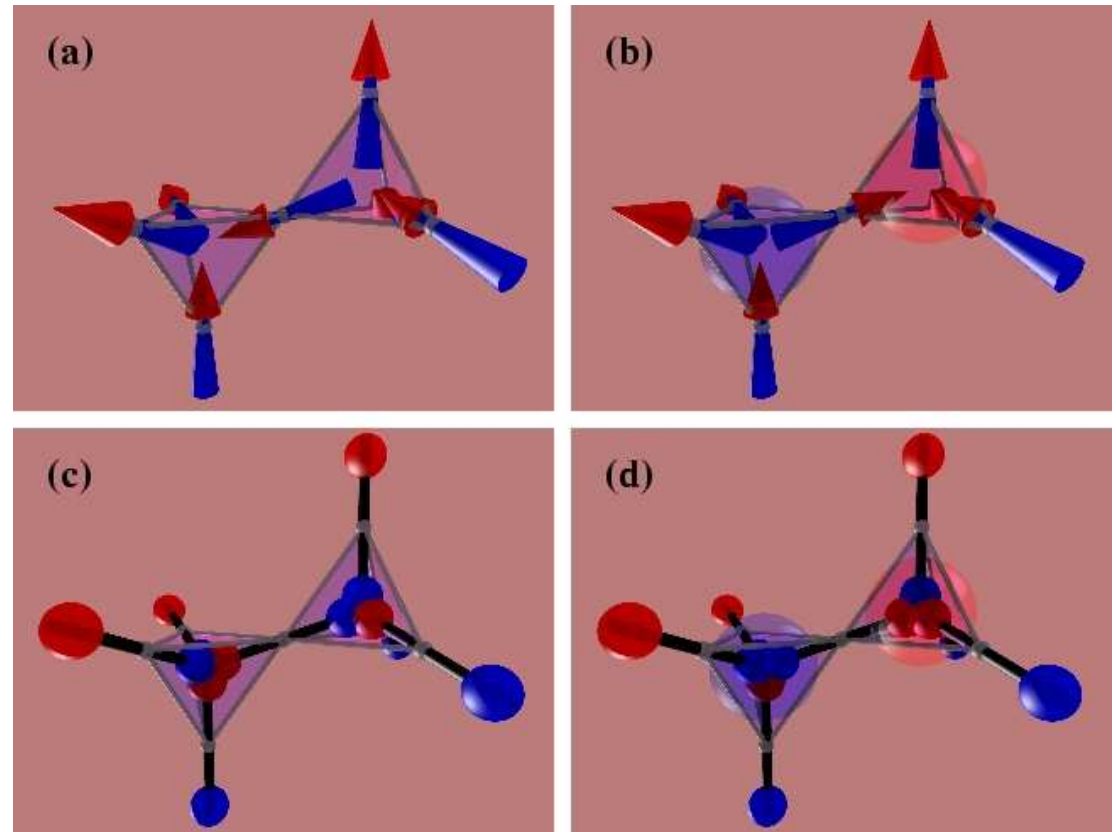


Fractionalisation in  $d = 1$

# Excitations in spin ice: dipolar or charged?

---

Single spin-flip (dipole  $\mu$ )  
 $\equiv$   
two charged tetrahedra  
(charges  $q_m = 2\mu/a_d$ )



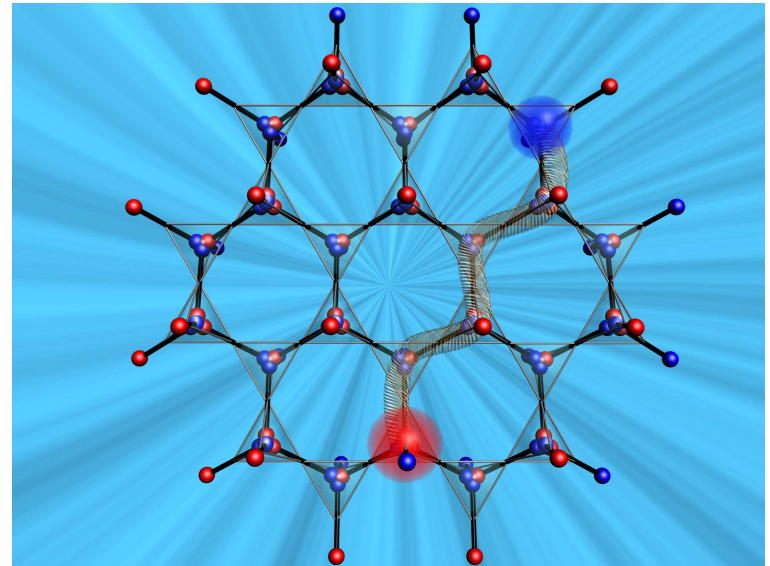
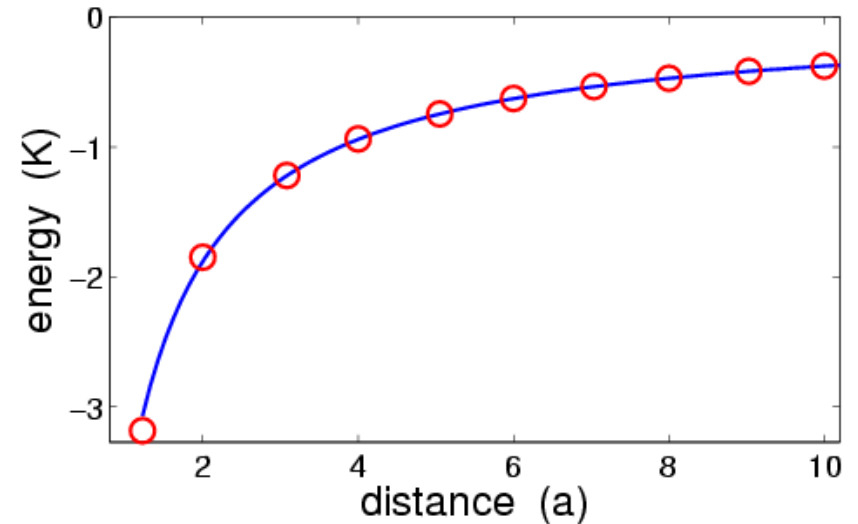
Are charges independent?  
 $\Rightarrow$  Fractionalisation in  $d = 3$ ?

# Deconfined monopoles

Dumbbell Hamiltonian gives

$$E(r) = -\frac{\mu_0 q_m^2}{4\pi r}$$

- **magnetic** Coulomb interaction for magnetic dipoles
- **electric** Coulomb interaction for electric dipoles

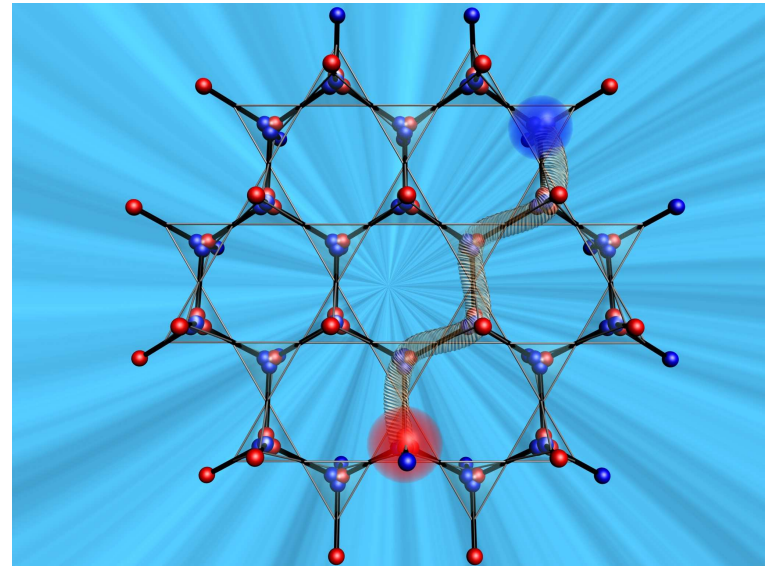
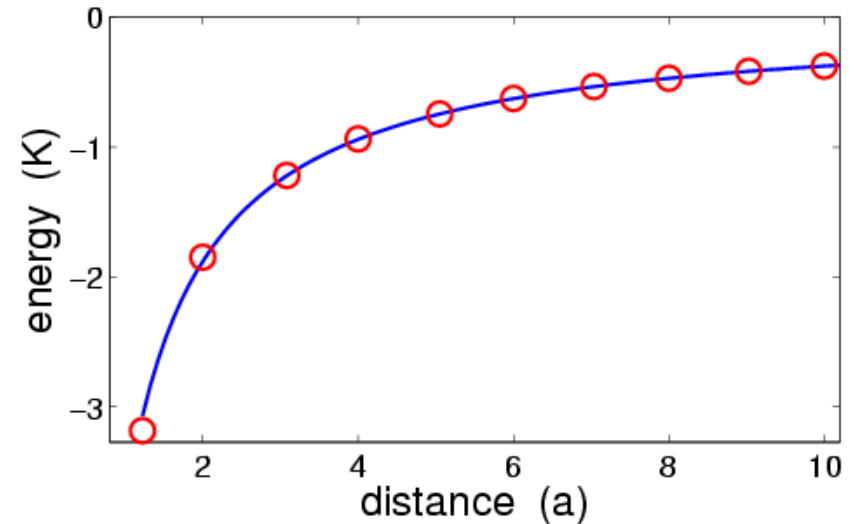


# Deconfined monopoles

Dumbbell Hamiltonian gives

$$E(r) = -\frac{\mu_0 q_m^2}{4\pi r}$$

- **magnetic** Coulomb interaction for magnetic dipoles
- **electric** Coulomb interaction for electric dipoles
- **deconfined monopoles** (in  $\vec{H}$ )



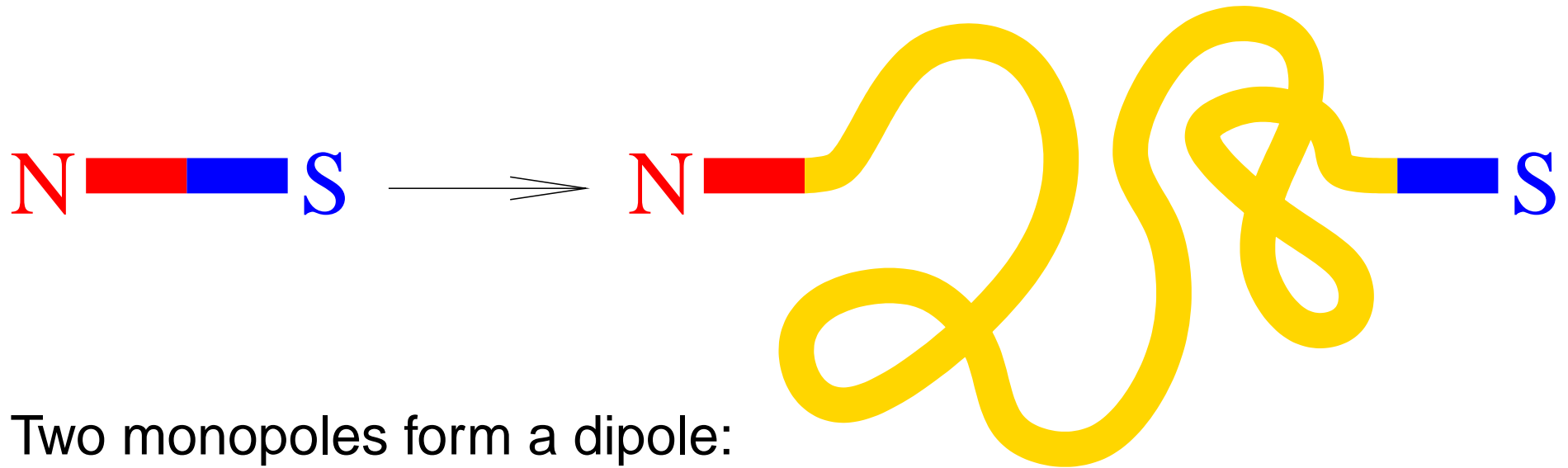
# Intuitive picture for monopoles

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Simplest picture does not work: disconnect monopoles



Next best thing: no string tension between monopoles:



Two monopoles form a dipole:

- connected by tensionless 'Dirac string'
- Dirac string is observable

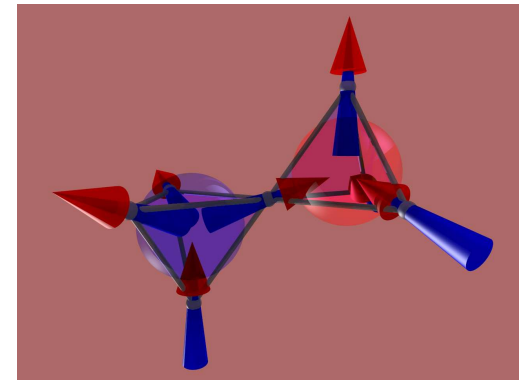
# *Kagome ice: dimensional reduction in a field*

---

Ising axes are not collinear

- $[111]$  field pins one sublattice of spins

$\vec{B}$





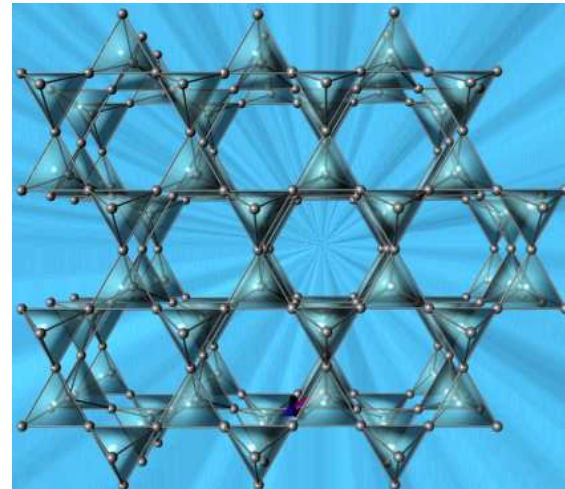
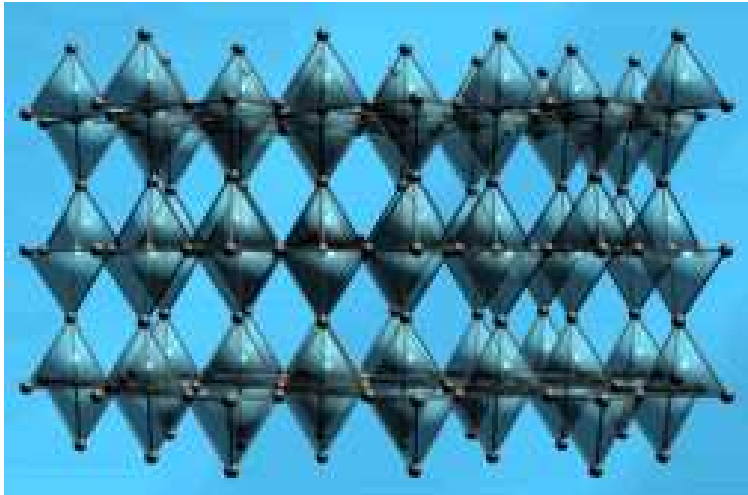
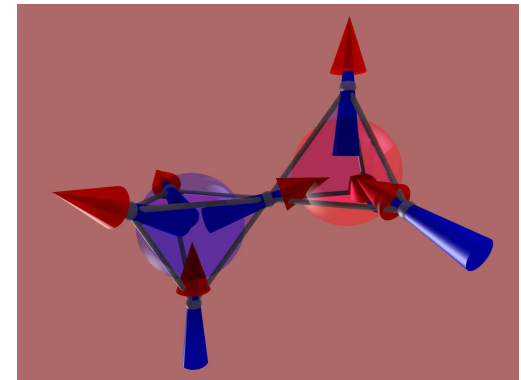
# *Kagome ice: dimensional reduction in a field*

---

Ising axes are not collinear

- $[111]$  field pins one sublattice of spins
- Other sublattices form kagome lattice
- Kagome lattice: two-dimensional
- **Can change effective dimensionality without touching lattice**

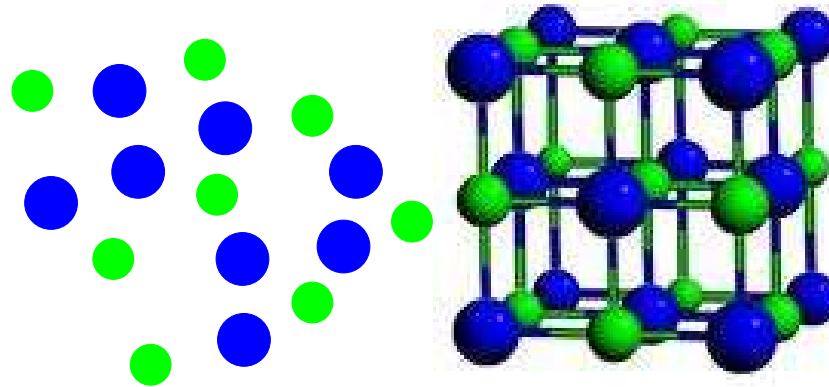
$\vec{B}$



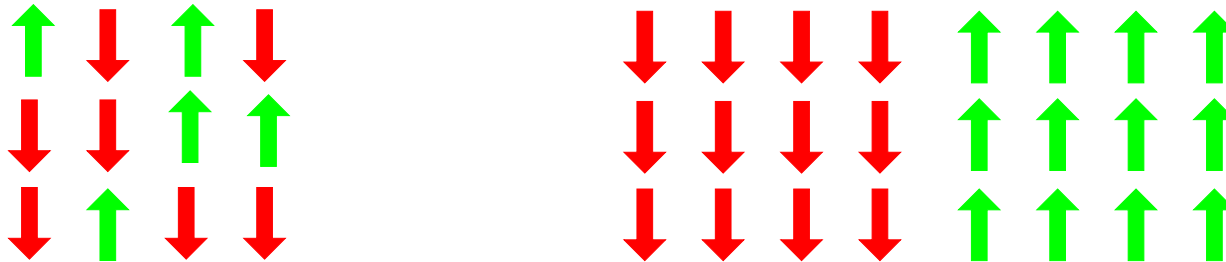
# Conventional order and disorder

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Gas-crystal (e.g. rock salt):



Paramagnet-ferromagnet (e.g. fridge magnet)



In between: critical points

Anything else???

## *Is spin ice ordered or not?*

---

No order as in ferromagnet

- deconfined monopoles

# *Is spin ice ordered or not?*

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No order as in ferromagnet

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Not disordered like a paramagnet

- ice rules

# Is spin ice ordered or not?

---

No order as in ferromagnet

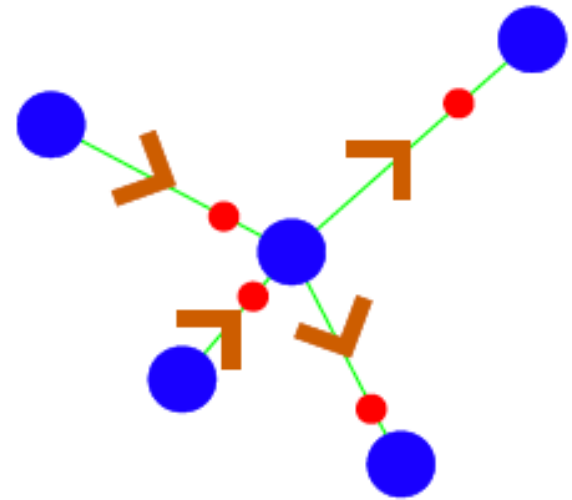
- deconfined monopoles

Not disordered like a paramagnet

- ice rules  $\Rightarrow$  'conservation law'

Consider magnetic moments  $\vec{\mu}_i$  as  
(lattice) 'flux' vector field

- Ice rules  $\Leftrightarrow \nabla \cdot \vec{\mu} = 0 \implies \vec{\mu} = \nabla \times \vec{A}$



# Is spin ice ordered or not?

---

No order as in ferromagnet

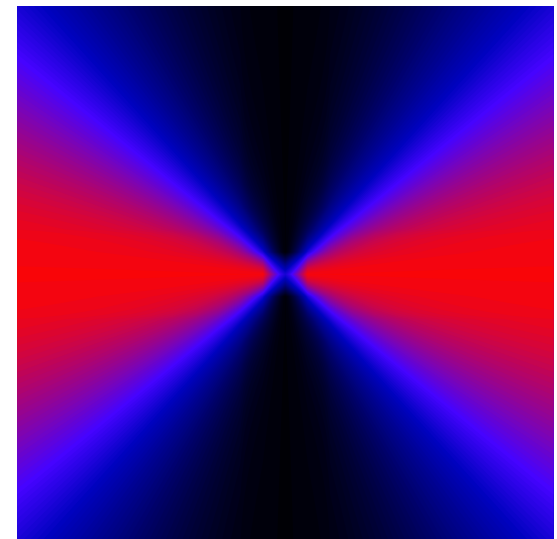
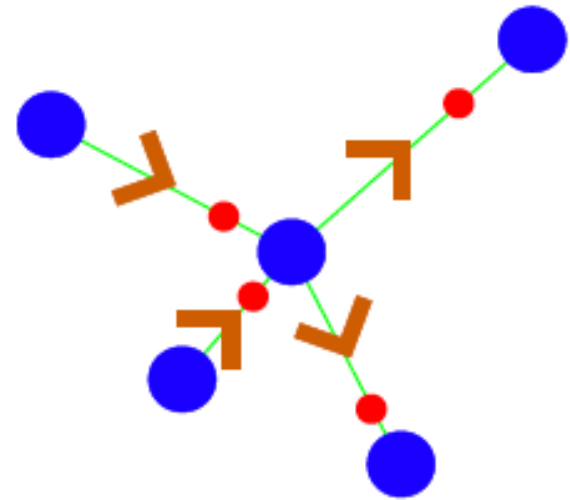
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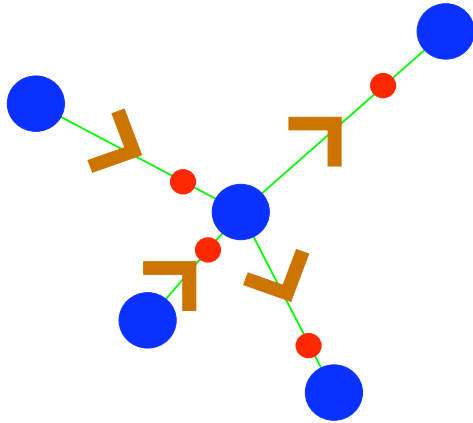
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Consider magnetic moments  $\vec{\mu}_i$  as (lattice) 'flux' vector field

- Ice rules  $\Leftrightarrow \nabla \cdot \vec{\mu} = 0 \implies \vec{\mu} = \nabla \times \vec{A}$
- Local constraint  $\Rightarrow$  'emergent gauge structure'
- Bow-tie motif in neutron scattering
- Algebraic (but not critical!) correlations



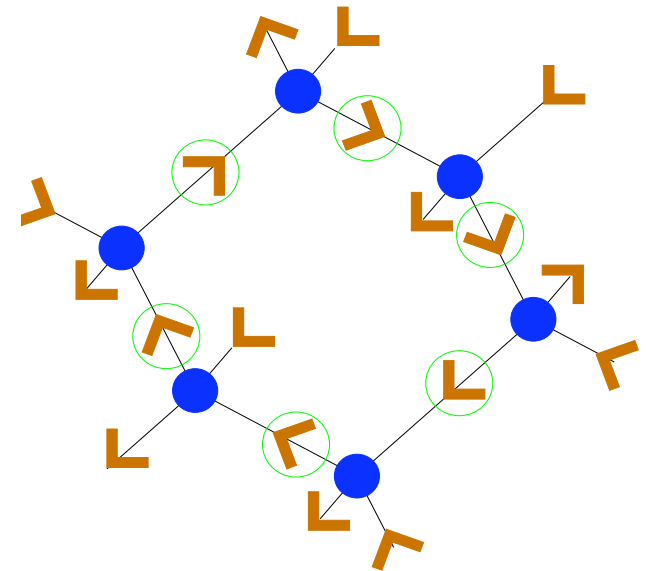
# Spin ice correlations: emergent gauge structure



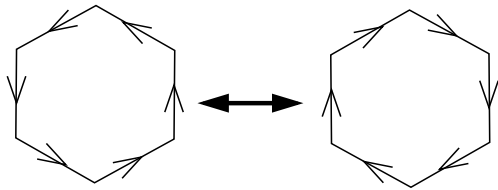
- Define ‘flux’ vector field on *links* of the ice lattice:  $\mathbf{B}_i$
- Local constraint (ice rules) becomes conservation law (as in Kirchoff’s laws)  
 $\Rightarrow$  gauge theory

$$\nabla \cdot \mathbf{B} = 0 \implies \mathbf{B} = \nabla \times \mathbf{A}$$

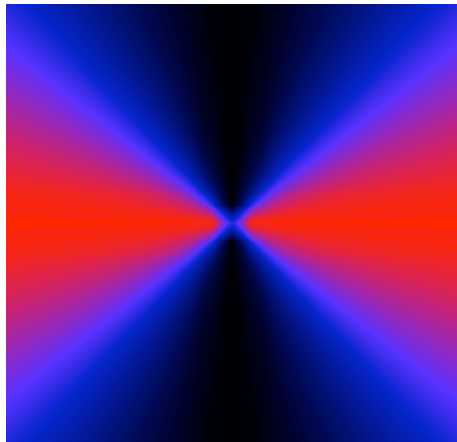
- Spin ice configurations differ by flipping spins in a loop
- Amounts to reversing closed loop of flux  $\mathbf{B}$
- Smallest loop: hexagon (six links)



# Long-wavelength analysis: coarse-graining



- Coarse-grain  $\mathbf{B} \rightarrow \tilde{\mathbf{B}}$  with  $\nabla \cdot \tilde{\mathbf{B}} = 0$
- ‘Flippable’ loops have zero average flux:  
low average flux  $\Leftrightarrow$  many microstates
- Ansatz: upon coarse-graining, obtain energy functional of entropic origin:



$$Z = \sum_{\mathbf{B}} \delta_{\nabla \cdot \mathbf{B}, 0} \rightarrow \int \mathcal{D}\tilde{\mathbf{B}} \delta(\nabla \cdot \tilde{\mathbf{B}}) \exp\left[-\frac{K}{2} \tilde{\mathbf{B}}^2\right]$$

- Artificial magnetostatics!
- Resulting correlators are transverse and algebraic (**but not critical!**): e.g.

$$\langle \tilde{B}_z(q) \tilde{B}_z(-q) \rangle \propto q_{\perp}^2 / q^2 \leftrightarrow (3 \cos^2 \theta - 1) / r^3.$$

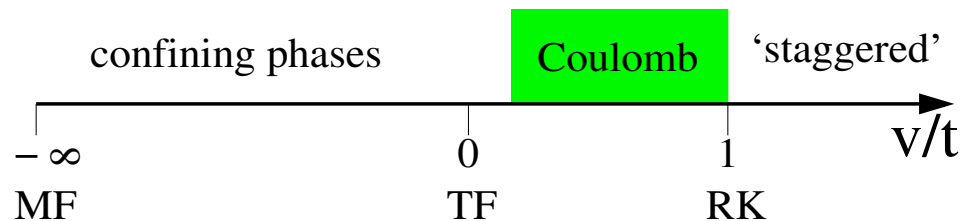


# Quantum frustration: $U(1)$ Coulomb phase

- Hilbert space: classical ground states of (spin) ice
- Add coherent quantum dynamics for hexagonal loop:

$$H_{\text{RK}} = -t \left[ \left| \begin{array}{c} \text{hexagon with arrows} \end{array} \right\rangle \left\langle \begin{array}{c} \text{hexagon with arrows} \end{array} \right| + \text{h.c.} \right] + v \left[ \left| \begin{array}{c} \text{hexagon with arrows} \end{array} \right\rangle \left\langle \begin{array}{c} \text{hexagon with arrows} \end{array} \right| + \dots \right]$$

- Effective long-wavelength theory  $\mathcal{S}_q = \int \vec{E}^2 - c^2 \vec{B}^2$  Maxwell
- This describes the Coulomb phase of a  $U(1)$  gauge theory:
  - gapless photons, speed of light  $c^2 \propto t - v$
  - deconfinement
  - microscopic model!



- Artificial electrodynamics with frustrated system as 'ether'

# Collaborators

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## Theory:

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- John Chalker (Oxford)
- Karol Gregor (Caltech)
- Sergei Isakov (ETHZ)
- Kumar Raman (UIUC)
- Shivaji Sondhi (Princeton)
- Adam Willans (Oxford)

+ many more

## Experiment:

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- Peter Schiffer (Penn State)

# *Fractionalisation in simple lattice models*

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Triangular lattice correlated electrons

- spin-charge separation
- topological order  $\Rightarrow$  quantum computing

Fractionalisation in spin model in  $d = 3$

- n.n. or dipolar Ising model with non-collinear axes
- frustration gets rid of simple ordered ground state
  - huge low-temperature entropy
  - monopoles (also classically) and artificial photons (qm)
  - algebraic correlations without criticality
  - several ways of obtaining dimensional reduction